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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Tripathi, et al.

Attorney Docket No.: SUN1P707

Application No.: 09/654,103

Examiner: Avi M. Gold

Filed: August 31, 2000

Group: 2157

Title: WEB-SERVER IN-KERNEL INTERFACE  
TO DATA TRANSPORT SYSTEM AND CACHE  
MANAGER

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the  
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Signed: 

Deborah Neill

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR §§1.56 AND 1.97(b)**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P707).

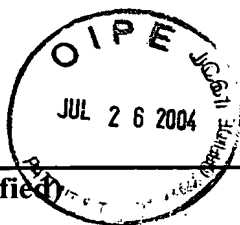
Respectfully submitted,

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| <b>Form 1449 (Modified)</b><br><br><b>Information Disclosure<br/>Statement By Applicant</b><br><br>(Use Several Sheets if Necessary) | Atty Docket No.<br><b>SUN1P707</b>    | Application No.:<br><b>09/654,103</b> |
|  | Applicant:<br><b>Tripathi, et al.</b> |                                       |
|  | Filing Date<br><b>08/31/00</b>        | Group<br><b>2157</b>                  |

#### U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date     | Patentee      | Class | Sub-class | Filing Date |
|------------------|-----|------------|----------|---------------|-------|-----------|-------------|
|                  | A   | 5,805,809  | 09/08/98 | Singh, et al. |       |           | 04/26/95    |
|                  | B   | 6,163,812  | 12/19/00 | Gopal, et al. |       |           | 10/20/97    |

#### Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
|                  | C   |              |                  |                          |       |           |             |    |

#### Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication   |
|------------------|-----|--|
|                  | D   | David C. Steere, et al., <i>Efficient User-Level File Cache Management on the Sun Vnode Interface</i> , School of Computer Science, Carnegie Mellon University, CMU-CS-90-126, April 18, 1990.                                 |
|                  | E   | Pei Cao, et al., <i>Implementation and Performance of Integrated Application-Controlled File Caching, Prefetching, and Disk Scheduling</i> , ACM Transactions on Computer Systems, Vol. 14, No. 4, November 1996, pp. 311-343. |
|                  | F   | Sambavi Muthukrishnan, et al., <i>Webfs: a File System Interface to the World Wide Web</i> , Computer Sciences Department, University of Wisconsin – Madison, December 13, 1999.   |
|                  | G   | Peter J. Brannm, et al., <i>Porting the Coda File System to Windows</i> , USENIX, Monterey, California, June 6-11, 1999  |
|                  | H   | Oleg Kiselyov, <i>A Network file System over HTTP: Remote Access and Modification of Files and files</i> , USENIX, Monterey, California June 6-11, 1999.   |
| Examiner         |     | Date Considered  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.